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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/619,968

07/15/2003

Byron Vencent Bell

2001-0699.08

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7590

07/29/2004

LEXMARK INTERNATIONAL, INC.
INTELLECTUAL PROPERTY LAW DEPARTMENT
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EXAMINER

BROOKE, MICHAEL S

ART UNIT

PAPER NUMBER

2853

DATE MAILED: 07/29/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 10/619,968	Applicant(s) BELL ET AL.	
	Examiner Michael S. Brooke	Art Unit 2853	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 09 June 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 15 July 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Double Patenting

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. See *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and, *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent is shown to be commonly owned with this application. See 37 CFR 1.130(b).

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-8, 10-17 and 20 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 1-19 of U.S. Patent No. 6,676,246 (Anderson et al.). Although the conflicting claims are not identical, they are not patentably distinct from each other because:

With respect to claims 1, 3, 8 and 12-15, claim 10 of Anderson teaches using the length, width and thickness of the heater to calculate the ejection energy required to produce a stable droplet velocity.

With respect to claims 2 and 20, claim 10 of Anderson teaches ejecting the droplets.

With respect to claim 4, while the claims of Anderson do not specifically recite using the sheets resistance, since the calculation of the resistance uses both the heater

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and area and the heater thickness, the calculation would necessarily be based upon the sheet resistance of the heater.

With respect to claim 5, claim 10 of Anderson teaches applying a current pulse in order to achieve the desired energy range.

With respect to claim 6, claim 10 of Anderson teaches measuring a desired power per unit volume.

With respect to claim 7, while Anderson does not teach this specific formula, it is the Examiner's position that this formula is merely states known physical law that are written in terms of the sheet resistance. For example, the first term of the equation $R_{\text{sheet}}/(WH^2)(TH)$ cancels out with the constant term $(WH^2)(TH)/R_{\text{sheet}}$ that comes out of the integral. Thus, the equation simplifies to:

$$E = (1/m^3) \cdot \int PV dt.$$

Since P (watts) = dW/dt , where W (joules) is work, then $\int P dt = W$. Thus, the claimed equation merely express that fact that the integral of the power density (W/m^3) is the energy density in (J/m^3). If the Applicant asserts that these equation are Applicant's work product and not merely the restatement of known physical laws, then the Applicant should provide evidence of how these equations were derived and how they differ from the known equations.

With respect to claim 10, claim 10 of Anderson teaches that the thickness includes both the heater thickness and the thickness of the protective layer.

With respect to claim 11 and 16, claims 10 and 14 Anderson teach that the overcoat layer comprises multiple layers of protective material, which may be used as both passivation and cavitation layers.

With respect to claim 17, claims 10 and 15 of Anderson teach a heater area of about 300 to about 1100 μ^2 and a thickness of about 500 to about 1500 angstroms.

Claims 9, 18 and 19 are rejected under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claim 1-19 of U.S. Patent No. 6,676,246 (Anderson et al.) in view of Prasad et al. (6,309,052).

Anderson et al. teaches the claimed invention with the exception of the ejection energy being in the range of from about 0.007 to about 1.19 microjoules

Prasad et al. teaches an ink jet printer having a heater (604, 608) with an area of 200 sq. microns and a thickness of 900 angstroms. The heater ejects an ink droplet using an ejection energy of 0.8 to 1.0 microjoules (col. 12:43). This provides the advantages of allowing more resistors to be used to increase printing resolution, while preventing an excessive build up of thermal energy (col. 11:11-23).

It would have been obvious to one of ordinary skill in the ink jet art, at the time the invention was made, to have provided Anderson with a heater having an ejection energy of about 0.007 to about 1.19 microjoules to eject an ink drop, in order to increase printing resolution, while prevent excessive heating of the head, as taught by Prasad et al.

Response to Arguments

Applicant's arguments filed 06/09/04 have been fully considered but they are not persuasive.

The Applicant's argument that Anderson does not teach predicting a stable energy range for the heater is not persuasive. Claim 10 of Anderson recites that a stable ink ejection occurs at a certain energy per unit volume and further teaches calculating the heater unit volume. Given the energy per unit volume ranges, it is easy to predict the stable ejection energy, simply by knowing the volume of the heater.

Conclusion

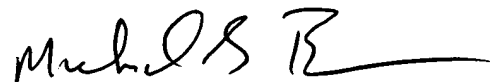
THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael S. Brooke whose telephone number is (571) 272-2142. The examiner can normally be reached on M-F from 5:30 AM-2:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stephen D. Meier can be reached on (571) 272-2149. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

A handwritten signature in black ink, appearing to read "Michael S. Brooke", with a long horizontal flourish extending to the right.

Michael S. Brooke
Primary Examiner
Art Unit 2853

MSB
07/23/04